# Security of differential phase shift QKD from relativistic principles

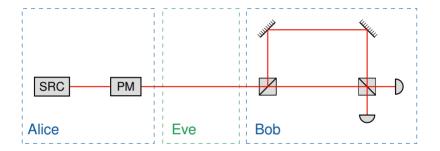
#### Martin Sandfuchs<sup>1</sup> Marcus Haberland<sup>1,2</sup> V. Vilasini<sup>1</sup> Ramona Wolf<sup>1</sup>

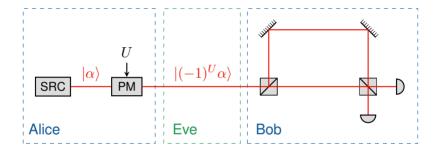
<sup>1</sup>Institute for Theoretical Physics, ETH Zürich, Wolfgang-Pauli-Str. 27, 8093 Zürich, Switzerland

<sup>2</sup>Max Planck Institute for Gravitational Physics (Albert Einstein Institute), Am Mühlenberg 1, 14476 Potsdam, Germany

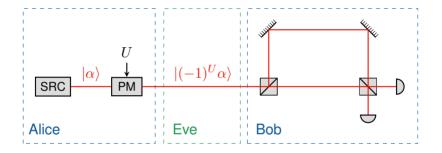
August 15, 2023



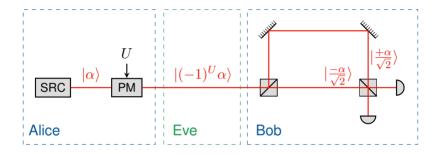




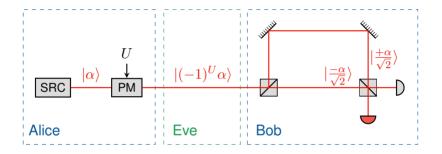
Alice chooses a random bit U and encodes it in the phase of a coherent state.



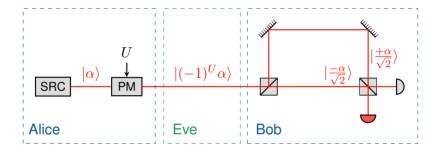
- Alice chooses a random bit U and encodes it in the phase of a coherent state.
- Bob measures the relative phase between consecutive pulses.



- Alice chooses a random bit U and encodes it in the phase of a coherent state.
- Bob measures the relative phase between consecutive pulses.



- Alice chooses a random bit U and encodes it in the phase of a coherent state.
- Bob measures the relative phase between consecutive pulses.



- Alice chooses a random bit U and encodes it in the phase of a coherent state.
- Bob measures the relative phase between consecutive pulses.
- If they see too many errors, they abort the protocol.

The goal of a QKD security proof is to show the following statement:

$$\rho_{K^l E} \approx_{\delta} \frac{\mathbbm{1}_{K^l}}{2^l} \otimes \rho_E.$$

The goal of a QKD security proof is to show the following statement:

$$\rho_{K^l E} \approx_{\delta} \frac{\mathbbm{1}_{K^l}}{2^l} \otimes \rho_E.$$

Using the leftover hashing lemma:

$$\delta \lesssim 2^{-\frac{1}{2} \left( H_{\min}^{\varepsilon}(X^n | E) - l \right)}.$$

The goal of a QKD security proof is to show the following statement:

$$\rho_{K^l E} \approx_{\delta} \frac{\mathbbm{1}_{K^l}}{2^l} \otimes \rho_E.$$

Using the *leftover hashing lemma*:

$$\delta \lesssim 2^{-\frac{1}{2} \left( H_{\min}^{\varepsilon}(X^n | E) - l \right)}.$$

 $\Rightarrow$  We need a lower-bound on  $H_{\min}^{\varepsilon}(X^n|E)$ .

This can be achieved by the generalized entropy accumulation theorem (GEAT).

$$\rho_{E_0}^{\mathrm{in}} \xrightarrow{E_0} \mathcal{M}_1 \xrightarrow{E_1} \mathcal{M}_2 \xrightarrow{E_2} \cdots \xrightarrow{E_{n-1}} \mathcal{M}_n \xrightarrow{E_n}$$

This can be achieved by the generalized entropy accumulation theorem (GEAT).

$$\rho_{E_0}^{\mathrm{in}} \xrightarrow{E_0} \mathcal{M}_1 \xrightarrow{E_1} \mathcal{M}_2 \xrightarrow{E_2} \cdots \xrightarrow{E_{n-1}} \mathcal{M}_n \xrightarrow{E_n}$$

The GEAT provides the bound:

$$H_{\min}^{\varepsilon}(X^{n}|E_{n})_{\mathcal{M}_{n}\circ\cdots\circ\mathcal{M}_{1}(\rho^{\mathrm{in}})} \geq nh - \mathcal{O}(\sqrt{n}),$$

where h is the single-round von Neumann entropy.

This can be achieved by the generalized entropy accumulation theorem (GEAT).

$$\rho_{E_0}^{\mathrm{in}} \xrightarrow{E_0} \mathcal{M}_1 \xrightarrow{E_1} \mathcal{M}_2 \xrightarrow{E_2} \cdots \xrightarrow{E_{n-1}} \mathcal{M}_n \xrightarrow{E_n}$$

The GEAT provides the bound:

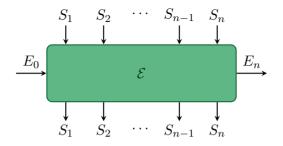
$$H_{\min}^{\varepsilon}(X^{n}|E_{n})_{\mathcal{M}_{n}\circ\cdots\circ\mathcal{M}_{1}(\rho^{\mathrm{in}})} \geq nh - \mathcal{O}(\sqrt{n}),$$

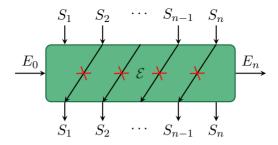
where h is the single-round von Neumann entropy.

#### Core questions:

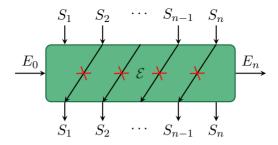
- Q1 What are  $\mathcal{M}_1, \ldots, \mathcal{M}_n$ ?
- Q2 How to compute h?

### Q1 What are the channels?

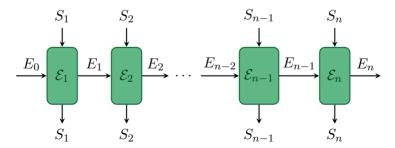


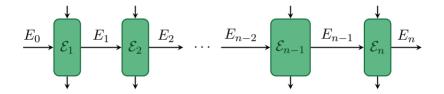


**Condition:** Eve does not signal from round i + 1 to round *i*.

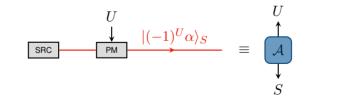


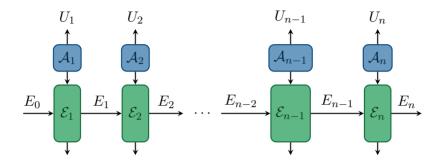
**Condition:** Eve does not signal from round i + 1 to round i. Then:

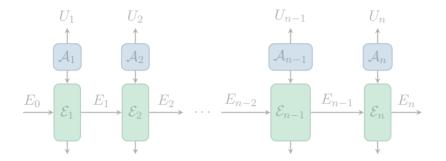


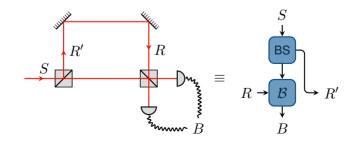


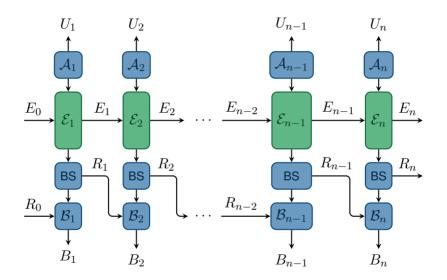


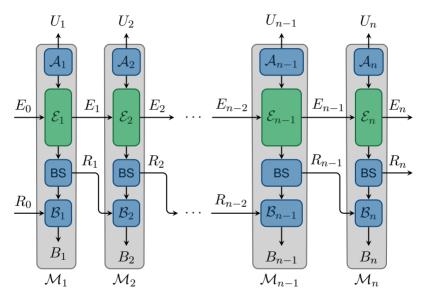






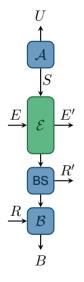






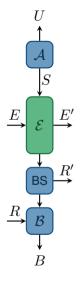
To apply the GEAT we identify:  $E_i R_i \rightarrow E_i$ .

## Q2 How to compute the singleround entropy?



$$|\psi\rangle_{US} = \frac{1}{\sqrt{2}}|0\rangle_U \otimes |+\alpha\rangle_S + \frac{1}{\sqrt{2}}|1\rangle_U \otimes |-\alpha\rangle_S,$$

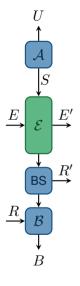
and measures U locally to obtain her key bit.



$$\psi\rangle_{US} = \frac{1}{\sqrt{2}}|0\rangle_U \otimes |+\alpha\rangle_S + \frac{1}{\sqrt{2}}|1\rangle_U \otimes |-\alpha\rangle_S,$$

and measures U locally to obtain her key bit.

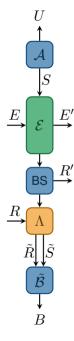
Bob receives a state  $\rho_{SR}$  from Eve and performs the phase coherence measurement discussed previously.



$$\psi\rangle_{US} = \frac{1}{\sqrt{2}}|0\rangle_U \otimes |+\alpha\rangle_S + \frac{1}{\sqrt{2}}|1\rangle_U \otimes |-\alpha\rangle_S,$$

and measures U locally to obtain her key bit.

Bob receives a state  $\rho_{SR}$  from Eve and performs the phase coherence measurement discussed previously.

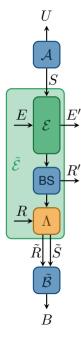


$$\psi\rangle_{US} = \frac{1}{\sqrt{2}}|0\rangle_U \otimes |+\alpha\rangle_S + \frac{1}{\sqrt{2}}|1\rangle_U \otimes |-\alpha\rangle_S,$$

and measures U locally to obtain her key bit.

Bob receives a state  $\rho_{SR}$  from Eve and performs the phase coherence measurement discussed previously.

Due to the squashing, we can assume that Eve's attack produces qubits.

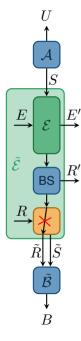


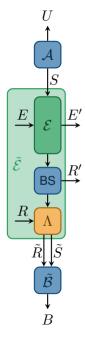
$$\psi\rangle_{US} = \frac{1}{\sqrt{2}}|0\rangle_U \otimes |+\alpha\rangle_S + \frac{1}{\sqrt{2}}|1\rangle_U \otimes |-\alpha\rangle_S,$$

and measures U locally to obtain her key bit.

Bob receives a state  $\rho_{SR}$  from Eve and performs the phase coherence measurement discussed previously.

Due to the squashing, we can assume that Eve's attack produces qubits.

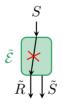




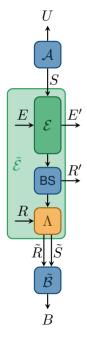
 $\Rightarrow$  Optimize over all attack channels:

$$\begin{split} h &= \inf_{\tilde{\mathcal{E}}} H(U|E'R')_{\nu(\tilde{\mathcal{E}})} \\ \text{s.t.} \quad \operatorname{tr}[\Gamma^{(i)}\nu] &= \gamma^{(i)} \end{split}$$

where the optimization is over all maps



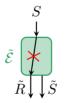
and  $\nu(\tilde{\mathcal{E}})$  is the state after Alice and Bob measure  $(\mathcal{I}_U \otimes \tilde{\mathcal{E}})(|\psi\rangle \langle \psi|_{US})$ .



 $\Rightarrow$  Optimize over all attack channels:

$$h = \inf_{\tilde{\mathcal{E}}} H(U|E'R')_{\nu(\tilde{\mathcal{E}})}$$
  
s.t.  $\operatorname{tr}[\Gamma^{(i)}\nu] = \gamma^{(i)}$ 

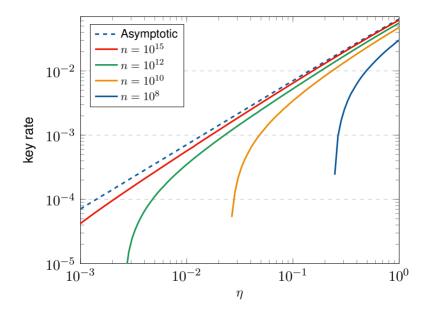
where the optimization is over all maps

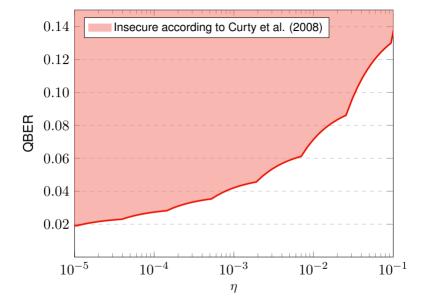


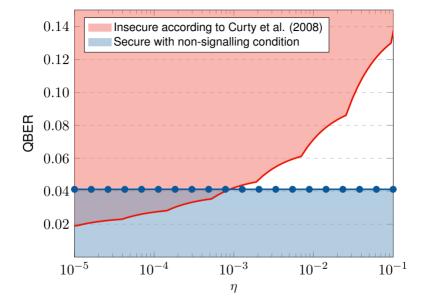
and  $\nu(\tilde{\mathcal{E}})$  is the state after Alice and Bob measure  $(\mathcal{I}_U \otimes \tilde{\mathcal{E}})(|\psi\rangle \langle \psi|_{US})$ .

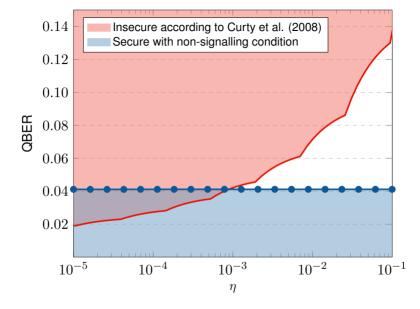
Can be solved using known optimization techniques.

## **Results and Discussion**









Coherent attacks on DPS are stronger than collective attacks!

# Conclusion

It is possible to prove security of the DPS protocol using the generalized entropy accumulation theorem.

- It is possible to prove security of the DPS protocol using the generalized entropy accumulation theorem.
- ► This requires a non-signalling constraint on Eve's attack.

- It is possible to prove security of the DPS protocol using the generalized entropy accumulation theorem.
- ► This requires a non-signalling constraint on Eve's attack.
- Tools from causality can be used to define the channels and evaluate single-round entropies.

- It is possible to prove security of the DPS protocol using the generalized entropy accumulation theorem.
- ► This requires a non-signalling constraint on Eve's attack.
- Tools from causality can be used to define the channels and evaluate single-round entropies.
- A constraint of this form is necessary if one wishes to reduce analysis to collective attacks (as the EAT and many other techniques do).

